

08/10/98
1c377 U.S. PTO

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PTO/SB/05 (4/98)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	3Com-7 (1611.NMD.US.P)
First Inventor or Application Identifier	Jonathan TAMS
Title	Methods and Apparatus for Collecting...etc.
Express Mail Label No.	EL087709073US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ * Fee Transmittal Form (3 pps in dupl.)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 72]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 [4] Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (2)
(Should be specifically itemized)
- ☐ * Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☒ Other: Permit to File Abroad

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Name (Print/Type)	Michael P. Straub	Registration No. (Attorney/Agent)	36,941
Signature	<i>Michael P. Straub</i>	Date	8/10/98

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

MICHAELSON & WALLACE
A Partnership of Intellectual Property and Technology Lawyers

Doc. No. 3Com-7(1611.NMD.US.P)

August 10, 1998

PATENT APPLICATION

Assistant Commissioner of Patents
Box **Patent Application**
Washington, D. C. 20231

Sir:

Enclosed herewith for filing is the following
utility patent application:

Applicants: **Jonathan TAMS, Mark Adrian PEARCE, Robin IDDON,
Ronnie BROWN**

Title of application: **METHODS AND APPARATUS FOR COLLECTING,
STORING, PROCESSING AND USING NETWORK
TRAFFIC DATA**

Pages of description: 62 (+ 8 pages of claims [1-29]
+ 2 pages of abstract)

Sheets of drawing: 11 (FIGs. 1-10)

Docket No.: **3Com-7(1611.NMD.US.P)**

PATENT APPLICATION FILING FEE CALCULATION

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total				
Claims	<u>29</u>	-20	9 x \$22.00	\$ 198.00
Independent				
Claims	<u>3</u>	-3	0 x \$82.00	\$ 00.00
			Minimum Filing Fee	\$ 790.00
			Multiple Dependency Fee (if applicable - \$270.00)	\$ 00.00
			50% Reduction for Small Entity (Independent Inventor, Non-profit Corporation, or Small Business Concern) - appropriate verified statement attached	\$- 00.00
			TOTAL FILING FEE ENCLOSED	\$ 988.00

Our check in the amount of the total filing fee is
enclosed herewith.

-1-

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In the event that this check is unacceptable, insufficient or omitted, kindly charge the entire or additional filing fee, as appropriate, to my deposit account number 13-3083. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Also enclosed herewith for filing in connection with the enclosed application are:

XX Postcard Receipt;
XX Check (\$ 988.00);
XX Utility Patent Application Transmittal;
Oath;
Declaration and Power of Attorney;
XX Disclosure Statement, modified PTO-1449, cited references (4);
Letter referencing previously filed disclosure document; number _____ filed _____;
Verified Statement Claiming Small Entity Status;
A Recordation Form Cover Sheet and an Assignment of the application to: _____;
XX Claim to priority (as noted below and included in declaration):
UK Patent Application
Serial Number _____ Filing date _____
9811416.8 **May 28, 1998**
Submission of Priority Document and a certified copy of a _____ patent application or inventor's certificate, filed _____ and serial no. _____, upon which a claim to priority is made; and
XX Other: **Permit to File Abroad.**

Respectfully submitted,

MICHAELSON & WALLACE

Michael P. Straub
Michael P. Straub, Attorney
Reg. No. 36,941
Customer No. 007265

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number: EL087709073US

Date of deposit: August 10, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, **Box Patent Application**, Washington, D.C. 20231.

Michael P. Straub

Signature of person making certification

Michael P. Straub

Name of person making certification

(3COM7PTO/15)

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